

#### April 14, 2020

**National Stock Exchange of India Limited** 

Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C-1, G Block, Bandra Kurla Complex, Bandra (East) Mumbai – 400051 **BSE Limited** 

Phirozee Jeejeebhoy Towers, Dalal Street, Mumbai - 400001

Sub: Statement of Investor Complaints under Regulation 13 of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Ref.: Scrip ID - STRTECH/ Scrip Code - 532374

Dear Sir/Madam,

Status Report of Investor complaints for the quarter ended March 31, 2020:

Investor Complaints pending as on January 1, 2020		
Investor Complaints received during the quarter	54	
Investor Complaints resolved during the quarter	54	
Investor Complaints pending as on March 31, 2020	0	

Kindly take this on your record.

Yours faithfully,

For Sterlite Technologies Limited

Sd/-

### **Amit Deshpande**

Company Secretary & Corporate General Counsel

Enclosure: As above

**Note**:- You are requested to accept and take on record the 'sd/-' copy as the Company is unable to submit the signed copy in the situation of lockdown, as per directives of the Government and the consequent work from home for its employees.



KFIN/RIS/SOTL/IGR/4 DATE: 06/04/2020

TO,
THE COMPANY SECRETARY
M/S. STERLITE TECHNOLOGIES LTD.
4<sup>TH</sup> FLOOR, GODREJ MILLENNIUM
9, KOREGAON ROAD
PUNE 411 001

Dear Sir,

SUB: STATUS OF REDRESSAL OF INVESTOR REQUESTS/GRIEVANCES FOR THE PERIOD FROM 01/01/2020 TO 31/03/2020.

S.NO.	PARTICULARS	OPENING BALANCE	TOTAL NO OF LETTERS RECEIVED DURING THE PERIOD	TOTAL NO. OF LETTER REPLIED DURING THE PERIOD	LETTERS PENDING
1	CHANGE/CORRECTION OF ADDRESS	0	12	12	0
2	CHANGE/CORRECTION OF BANK MANDATE	0	4	4	0
3	NON RECEIPT OF ANNUAL REPORT	0	1	1	0
4	NON RECEIPT OF DIVIDEND WARRANTS	0	39	39	0
5	NON RECEIPT OF SECURITIES	0	12	12	0
6	NON RECEIPT OF SECURITIES AFTER TRANSFER	0	0	0	0
7	DELETION OF JOINT NAME DUE TO DEATH	0	6	6	0
8	LOSS OF SECURITIES AND REQUEST FOR ISSUE OF DUPLICATE SECURITIES	0	20	20	0
10	RECEIPT OF D/W FOR REVALIDATION	0	43	43	0
11	REQUEST FOR ECS FACILITY ( ELECTRONIC CLEARANCE SERVICES)	0	21	21	0
12	QUERY REGARDING UNDELIVERED SHARE CERTIFICATE	0	1	1	0
13	REQUEST FOR EXCHANGE OF OLD CERTIFICATES WITH NEW CERTIFICATES	0	1	1	0

## **KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

## **Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph: +91 40 6716 2222, 3321 1000 | https://www.kfintech.com | CIN: U72400TG2017PTC117649



14	RECEIPT OF I/B FOR DUPLICATE SHARE CERTIFICATE	0	5	5	0
15	REQUEST FOR TRANSMISSION OF SECURITIES	0	10	10	0
16	SEBI/SCORES/BSE/NSE	0	2	2	0
	TOTAL		177	177	0

Thanking you,

Yours faithfully,

for Kfin Technologies Pvt. Ltd.

Sd/-Authorised Signatory

## **KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office



KFIN/SOTL/IGR/4 DATE: 06/04/2020

TO,

COMPANY SECRETARY
M/S. STERLITE TECHNOLOGIES LTD.
4<sup>TH</sup> FLOOR, GODREJ MILLENNIUM
9, KOREGAON ROAD
PUNE 411 001

Dear Sir,

# SUB: STATUS OF REDRESSAL OF INVESTOR REQUESTS/GRIEVANCES FOR THE PERIOD FROM 01/01/2020 TO 31/03/2020.

SL.NO	CATEGORY	Opening Balance	Received	Replied	Pending
1.	Non receipt of Dividend	00	39	39	0
2.	Non receipt of securities	00	12	12	0
3.	Non receipt of Annual Report	00	01	01	0
4.	Non receipt of securities after	00	00	00	0
	transfer				
5.	SEBI/SCORES	00	02	02	0
6.	BSE/NSE	00	00	00	0
	TOTAL	00	54	54	00

Thanking you,

Yours faithfully, for Kfin Technologies Pvt. Ltd.

Sd/ Authorised Signatory

### **KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office



KFIN/SOTL/IGR/4 DATE: 06/04/2020

TO,

COMPANY SECRETARY
M/S. STERLITE TECHNOLOGIES LTD.
4<sup>TH</sup> FLOOR, GODREJ MILLENNIUM
9, KOREGAON ROAD
PUNE 411 001

Dear Sir,

# SUB: STATUS OF REDRESSAL OF INVESTOR REQUESTS/GRIEVANCES FOR THE PERIOD FROM 01/01/2020 TO 31/03/2020.

Pursuant to the requirement under the Regulation 13(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, Investor Grievance Report for the Quarter ended 31.03.2020 is as under;

Particulars	No.
Investor Grievances pending at the beginning of the Quarter i.e. 01.01.2020	00
Investor Grievances received during the Quarter	54
Investor Grievances disposed of during the Quarter	54
Investor Grievances unresolved at the end of the Quarter i.e 31.03.2020	00

Kindly acknowledge the receipt

Thanking You

Yours Faithfully, For Kfin Technologies Pvt. Ltd.

Sd/-Authorised Signatory

### **KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office